

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q83274

Kazuhiko AIKAWA, et al.

Appln. No.: 10/507,078

Group Art Unit: 2874

Confirmation No.: 4424

Examiner: Not Yet Assigned

Filed: May 27, 2005

For: DISPERSION COMPENSATING FIBER

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

**ATTN:** Office of Initial Patent Examination

Filing Receipt Correction

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction:

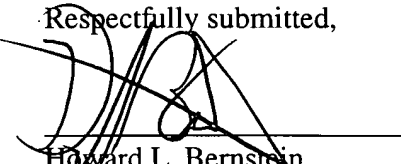
Foreign Applications

JAPAN ~~2002-089077~~ 2002-069077 03/13/2002

JAPAN 2003-057013 03/04/2003

Verification for the requested correction is indicated on the Executed Declaration, filed May 27, 2005, a copy of which is enclosed herewith for your convenience.

Respectfully submitted,



Howard L. Bernstein  
Registration No. 25,665

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: December 9, 2005



IFW

## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q83274

Kazuhiko AIKAWA, et al.

Appln. No.: 10/507,078

Group Art Unit: 2874

Confirmation No.: 4424

Examiner: Not Yet Assigned

Filed: May 27, 2005

For: DISPERSION COMPENSATING FIBER

#### **INFORMATION DISCLOSURE STATEMENT** **UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Patent Application Publication No. 10-319266, published December 4, 1998.
2. Japanese Patent Application Publication No. 8-36123, published February 6, 1996.
3. Japanese Patent Application Publication No. 5-203847, published August 13, 1993.
4. Japanese Patent Application Publication No. 10-31120, published February 3, 1998.
5. European Patent Application Publication No. 1 107 028, published June 13, 2001.
6. International Patent Application Publication No. 00/70378, published November 23, 2000.
7. United States Patent Application Publication No. 2002/0018631, published February 14, 2002.
8. European Patent Application Publication No. 1 122 562, published August 8, 2001.

# INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/507,078

Atty. Docket No.: Q83274

9. International Patent Application Publication No. 00/17684, published March 30, 2000.
10. Chinese Patent Application Publication No. 1323405, published November 21, 2001.
11. European Patent Application Publication No. 1 130 428, published September 5, 2001.
12. International Patent Application Publication No. 00/17685, published March 30, 2000.
13. Chinese Patent Application Publication No. 1302385, published July 4, 2001.
14. Japanese Patent Application Publication No. 6-11620, published January 21, 1994.
15. Japanese Patent Application Publication No. 11-95056, published April 9, 1999.
16. Japanese Patent Application Publication No. 8-136758, published May 31, 1996.
17. Japanese Patent Application Publication No. 8-54546, published February 27, 1996.
18. Japanese Patent Application Publication No. 10-115725, published May 6, 1998.
19. United States Patent No. 5,887,104, issued March 23, 1999.
20. European Patent Application Publication No. 1 271 196, published January 2, 2003.
21. Japanese Patent Application Publication No. 10-123342, published May 15, 1998 (with English abstract).
22. Japanese Patent Application Publication No. 5-281431, published October 29, 1993 (with English abstract).

One copy of each of the listed documents is submitted herewith, except for the U.S. patent and above references 1-13. It is assumed that copies of the cited references 1-13 will be supplied directly by the International Bureau, but if further copies are needed, the undersigned will undertake to provide them upon request.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/507,078

Atty. Docket No.: Q83274

continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of the International Search Report, issued in the counterpart PCT application, which cites above references 1-4, 6, 9, 10, 12, and 13, and indicates the degree for relevance found by the foreign office. In addition, Applicant states that above references 14-18 are discussed within the specification beginning at page 3, lines 1, 5, 9, 12, and 21, respectively. Applicant encloses herewith a copy of a corresponding European Office Action, dated September 9, 2005, which cites above references 21 and 22, and indicates the degree for relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

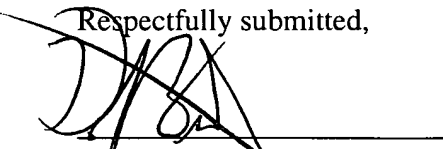
SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Respectfully submitted,



Howard L. Bernstein  
Registration No. 25,665

Date: December 9, 2005

Substitute for Form 1449 A & B/PTO			Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>			Application Number	10/507,078
			Confirmation Number	4424
			Filing Date	May 27, 2005
			First Named Inventor	Kazuhiko AIKAWA
			Art Unit	2874
			Examiner Name	Not Yet Assigned
Sheet	1	of	Attorney Docket Number	Q83274

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 2002/0018631	A1	02-14-2002	Arai et al.
		US 5,887,104	A	03-23-1999	Sugizaki et al.

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	10-319266	A	12-04-1998		
		JP	8-36123	A	02-06-1996		
		JP	5-203847	A	08-13-1993		
		JP	10-31120	A	02-03-1998		
		EP	1 107 028	A1	06-13-2001	The Furukawa Electric Co., Ltd.	
		WO	00/70378	A1	11-23-2000	The Furukawa Electric Co., Ltd.	
		EP	1 122 562	A1	08-08-2001	Sumitomo Electric Industries, Ltd.	
		WO	00/17684	A1	03-30-2000	Sumitomo Electric Industries, Ltd.	
		CN	1323405	A	11-21-2001		
		EP	1 130 428	A1	09-05-2001	Sumitomo Electric Industries, Ltd.	
		WO	00/17685	A1	03-30-2000	Sumitomo Electric Industries, Ltd.	
		CN	1302385	A	07-04-2001		
		JP	6-11620	A	01-21-1994		
		JP	11-95056	A	04-09-1999		
		JP	8-136758	A	05-31-1996		
		JP	8-54546	A	02-27-1996		
		JP	10-115725	A	05-06-1998		
		EP	1 271 196	A2	01-02-2003	Fujikura Ltd.	
		JP	10-123342	A	05-15-1998	Sumitomo Electric Industries, Ltd.	
		JP	5-281341	A	10-29-1993	Fujikura Ltd.	

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.